



1FW

Liberty certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: April 25, 2005

Signature:

Michael J. Doherty
(Michael J. Doherty)

Docket No.: SPINE 3.0-436 CIP CIP CIP I
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ralph et al.

Patent No.: 6,863,688 ✓

Confirmation No.: 9649

Issued: March 8, 2005

Art Unit: 3738

For: INTERVERTEBRAL SPACER DEVICE
UTILIZING A SPIRALLY SLOTTED
BELLEVILLE WASHER HAVING
RADIALLY SPACED CONCENTRIC
GROOVES

Examiner: B. Pellegrino

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

MS Post Issue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Assignee, SpineCore, Inc., hereby notifies the Patent and Trademark Office that it is not entitled to status as a small entity, and that the claim for small entity status filed by the Applicant is hereby withdrawn.

Dated: April 25, 2005

Respectfully submitted,

By *Michael J. Doherty*

Michael J. Doherty

Registration No.: 40,592

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000

Attorney for Applicant



Approved for use through 11/30/2005 OMB 0651-0035
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	6,863,688		
	Filing Date	March 8, 2005		
	First Named Inventor	James D. Ralph		
	Art Unit	3738		
	Examiner Name	B. Pellegrino		
	Attorney Docket Number	SPINE 3.0-436 CIP CIP CIP I		

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.
OR
☒ I hereby appoint the practitioners associated with the Customer Number:

☒ Please change the correspondence address for the above-identified application to:
☒ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

City

Country State Zip

Telephone Fax

I am the:

☐ Applicant/Inventor.
☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Joseph P. Errico, SpineCore, Inc.		
Signature			
Date	<input type="text" value="9/21/04"/>	Telephone	<input type="text" value="908-522-3460"/>

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of 1 forms are submitted.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James D. Ralph and Stephen Tatar

Application No./Patent No.: 6,863,688 Filed/Issue Date: March 8, 2005

Entitled: INTERVERTEBRAL SPACER DEVICE UTILIZING A SPIRALLY SLOTTED BELLEVILLE WASHER HAVING RADially SPACED CONCENTRIC GROOVES

SpineCore, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Ralph et al. To: SpineCore, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012258, Frame 0034, or for which a copy thereof is attached.
2. From: SpineCore, LLC To: Third Millennium Engineering, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012850, Frame 0992, or for which a copy thereof is attached.
3. From: Third Millennium Engineering, LLC To: SpineCore, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 014344, Frame 0937, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michael J. Doherty
Signature

April 25, 2005
Date

MICHAEL J. DOHERTY
Printed or Typed Name

(908) 518-6337
Telephone Number

Authorized Signer for Assignee
Title



PTO/SB/123 (09-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CHANGE OF CORRESPONDENCE ADDRESS Patent		
Address to: MS Post Issue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Patent Number	6,863,688
	Issue Date	March 8, 2005
	Application Number	09/975,471
	Filing Date	October 11, 2001
	First Named Inventor	James D. Ralph
	Attorney Docket Number	SPINE 3.0-436 CIP CIP CIP I

Please change the Correspondence Address for the above-identified patent to:

☒ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

City State ZIP

Country

Telephone Fax

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

This form will not affect any "fee address" provided for the above-identified patent. To change a "fee address" use the "Fee Address Indication Form" (PTO/SB/47).

I am the:

☐ Patentee.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ Attorney or agent of record. Registration Number

Signature *Michael J. Doherty*

Typed or Printed Name Michael J. Doherty

Date April 25, 2005 Telephone (908) 518-6337

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of forms are submitted.